

UNITED SADDLEBRED ASSOCIATION-UK HANDBOOK



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Registered with Companies House: 4667665

REGISTERED ADDRESS: 24 COTON GROVE, SHIRLEY, SOLIHULL, WEST MIDLANDS, B90 1BS.

www.american-saddlebred.co.uk

All members, Judges and officials are bound by the terms enclosed within our Handbook.

Prospective members, judges and officials are invited to read it before joining.

The United Saddlebred Association UK Ltd (USA-UK) aims to promote the breeding, exhibition, use and improvement of the American Saddlebred Horse with enthusiasm and integrity, as well as to support all owners of Foreign Breeds in the UK where ever possible.

This includes, but is not limited to:

- Maintaining accurate breed records and ownership details of the American Saddlebred and American Saddlebred partbreds in the United Kingdom & Europe;
- Ensuring the purity of the breed in respect of purebreds;
- Encouraging the showing and breeding of the American Saddlebred, the American Saddlebred partbred, supporting all other Foreign Breeds in the UK and their use in all equine sports, thus promoting the capabilities and versatility of both the American Saddlebred & all other Foreign Breeds;
- Providing regular and current information and news about the breed and linked items of interest to USA-UK members and others;
- Democratically electing members of USA-UK to the committee annually, to govern the running of the organisation for members, by means of a fair constitution;
- Providing services for all members, but especially the young, which enhance and encourage appreciation and/or ownership of the breed;
- Actively promoting the welfare of all equines.

PROCEDURE OF ACCEPTANCE TO THE USA-UK PANEL OF JUDGES.

Applications from individuals to become Foreign Breed and/or American Saddlebred Judges on the USAUK Panel should be made to the USA-UK Office. All applicants will be required to apply in writing with a completed equestrian CV to USA-UK. USA-UK reserves the right to request an assessment or probationary period before Judges are accepted on to the Judges Panel.

Judges on the Panel are appointed yearly.

Applicants already officiating on the official society panels and Breed Specific Society Panels (e.g. PRE-GB), will be favourably considered.

The Judges List will be renewed annually and serving Judges are requested to confirm their details or update information by 1st November annually. Judges are appointed on an annual basis as of 1st January each year. USA-UK reserves the right to not appoint, or re-appoint any Judge at its discretion.

Judges who fail in the observance of the USA-UK Rules or whose conduct in any respect shall be, in the opinion of the USA-UK Board, derogatory to the character or prejudicial to the interests of USA-UK, may be suspended or removed from the Panel of Judges at any time, by the USA-UK Board.

Judges may judge an additional qualifier if Shows have been let down at short notice, providing the Show asks Head Office for approval. The Board has the power to appoint substitute Judges in emergency situations

GUIDE LINES AND ADVICE FOR JUDGES

- ◆ Judges are requested to exercise discretion and **NOT** accept to judge the same classes at consecutive shows in the same area or more than two shows in the same area.
- ◆ Judges are not allowed to charge a fee for judging but are entitled to have their expenses reimbursed and are advised to make prior arrangements, with the Show Secretary, regarding the rate.
- ◆ Judges should carry the USA-UK Judging and Showing Book when Judging. It is essential to have a Rule Book for reference if any questions or problems on rules arise.
- ◆ It is the duty of all Judges on the Panel to understand and comply with the rules in the Rule Book and to ensure they are fit and well to judge.
- ◆ Riders are **NOT** asked to dismount, nor are horses asked to be stripped **UNLESS** this has been clearly stated in the show schedule.

THE UNITED SADDLEBRED ASSOCIATION-UK CONSTITUTION

ARTICLE I - NAME, PURPOSE AND INCORPORATION

Section 1: This Association shall be known as THE UNITED SADDLEBRED ASSOCIATION - UK, hereinafter referred to as USA-UK. It shall be incorporated as a non-profit organisation in accordance with the laws of the United Kingdom (England and Scotland, according to domicile).

Section 2: As of July 1997 the American National Show Horse Association (UK) and its Stud Book, Stallion Licensing Scheme & National Show Horse Nominations has been incorporated within the USA- UK.

Section 3: This Association is organised for the purpose of promoting the American Saddlebred Horse to its fullest capabilities, both in breeding and exhibiting these horses, and shall adopt show rules governing the exhibition of Saddlebred Horses.

Section 4: The principle place of business of USA-UK shall be the home of the duly-elected Secretary of the Association. The legal address shall be the same.

Section 5: There shall be no Stocks and Shares and in lieu of certificates, written evidence of membership shall be issued to each member, signed and attested by the Secretary.

Section 6: The fiscal year of the Association shall be the first (1) of October to the thirtieth (30) September.

ARTICLE II - MEMBERSHIP

Section 1: All persons, firms, partnerships or organisations interested in the promotion and breed improvement of the American Saddlebred Horse and the promotion of foreign breeds in general may become members of the Association upon filling out completely the application for membership supplied by the Association and the payment of current fees.

Section 2: Members of the Association shall be admitted, retained and expelled in accordance with the procedures set forth in this Constitution & Bye-Laws.

Section 3: Each member entitled to vote shall have one vote in person or by proxy, on each matter submitted to a vote of the members. In the case of joint, firm or partnership or organisation membership, only one (1) person representing the same shall cast one vote.

Section 4: Membership in the Association is a privilege and not a right, and is open to any natural person or business entity who has indicated his/hers or its interest in furthering the purposes of this Association. USA-UK reserves the right to refuse membership without specifying the reason.

Section 5: Annual membership fees shall be set by a majority vote of the membership and take effect immediately.

A) Annual membership shall begin 1st October and expire on the last day in September in the following year.

B) Membership fees are subject to change at meetings by a majority vote of the membership at such meeting. Fee changes shall not be retroactive.

The annual membership fee shall be considered paid on the day received by the Association or date of postmark when received by the Secretary.

Section 6: Membership may be terminated by the Committee for causes detrimental to the interests of the Association.

Section 7: All members in good standing shall (i) have equal rights, interests and responsibilities with respect to the Association and its property, (ii) obey and be bound by all By-Laws, Rules and Regulations of the Association and

decisions or actions of the Committee and (iii) have the right to hold office and committee assignments, except as otherwise limited by the Articles of Incorporation or these By-Laws.

Section 8: Membership shall be terminated upon occurrence of any of the following, to wit: (i) resignation in writing delivered to the Association, (ii) death, (iii) failure to pay annual membership on or before the annual expiration date, (iv) action due to articles covered within this Constitution and our Social Media Policy.

Section 9: In order to comply with Commission Decision 92/353/EEC the United Saddlebred Association-UK declares that there shall be no discrimination between members.

ARTICLE III - MEETINGS

Section 1: There will be at least one Annual General Meeting (AGM) a year. The annual election of Officers and installation of the same shall take place at the AGM. The date and place of the meetings shall be determined at least forty (40) days in advance of said meeting and the Secretary shall give written notice to all members at least thirty (30) days in advance of said meeting. The AGM will also be held for the purpose of issuing a Financial State of Affairs, making futurity (if applicable) and other show-related plans, public relation plans, and other important business.

Section 2: Extra-Ordinary Meetings may be requested by any members, and should be made in the first instance to the Secretary. Special meetings of members may be held at such time and place as may be designated in writing thirty (30) days in advance of said meeting.

Section 3: Ten (10) percent of members entitled to vote, represented in person or by proxy, shall constitute a quorum at meetings of members. The acts of a majority of the members present at a meeting at which a quorum is present shall be the acts of the Association, except for other provisions set forth in this constitution.

Section 4: Members entitled to vote at a membership meeting must be in good standing thirty (30) days prior to such meeting.

ARTICLE IV -

OFFICERS AND DUTIES

Section 1: The Officers of the USA-UK shall be voted into office by the members in good standing at an Annual General Meeting. These Officers shall hold office for a period of three (3) years or until their successors are duly elected and qualified (having accepted) at the next AGM. The results of any officer elections must be reported to the membership in writing in the next newsletter. All officers must be active, current USA-UK members and to have been USA-UK members for at least one (1) year. Nominees can be re-elected.

Section 2: Minutes of any meeting should be circulated to all Committee members within thirty (30) days of such meeting; minutes of the AGM shall be summarised in the next newsletter. The Secretary shall keep and safeguard the records of the Association and shall be ex-officio Secretary of all committees. The Secretary shall be responsible for official USA-UK correspondence and be reimbursed for office expense.

Section 3: The Treasurer will give an accounting to the membership at its AGM. Each year, at the AGM the Treasurer shall provide all bank statements, cancelled cheques and bills. An annual budget will be prepared each year by the Committee. If the

annual budget exceeds £5,000 there must be an annual outside audit presented to the members. There shall be a minimum of three authorised signatures, of which any two must sign.

Section 4: Vacancies shall be filled by appointment of the Committee should they occur. The appointee shall serve only until the successor is elected and installed at the AGM

Section 5: Officers shall serve without compensation, but may claim for approved out of pocket expenses.

ARTICLE V - LIAISON

Section 1: United Saddlebred Association-UK Liaison. This person will be the direct link between USA-UK and ASHA, BMHS, BSPA, BPS etc. with respect to potential horse customers. The Liaison of this Association shall have his/her name address and phone number published for each customer access and be elected at the AGM from members of the Committee.

ARTICLE VI - FISCAL YEAR

Section 1: The fiscal year of the Association shall begin on the first day of October and end on the last day of September each year.

ARTICLE VII - COMMITTEES

Section 1: The Committee shall appoint, or receive nominations of person(s) or appoint subcommittees as needed.

Show Rules - Recommended rule change and where applicable integrate ASHA rules into USA-UK.

A) Horse Show - Review and recommend change in horse show activity and promote the adoption and sponsorship of classes by horse shows.

B) Membership - Plan membership recruitment activities.

C) Nomination - Make officer nominations and clear it with the nominees.

D) Finance - Make internal audit and prepare annual budget.

E) By-laws - Review By-Laws for update and corrections yearly.

F) Fund Raising - Plan for financing of club's projects.

G) Convention - Plan the place, programme and facilities for meetings.

H) Promotion and Public Relations - Plan, recommend and implement promotional activities.

I) Hearing - Rule on disciplinary action.

J) Futurities - Implement and operate USA-UK futurities programmes under ASHA rules and operate the fundraising.

ARTICLE VIII - AMENDING CONSTITUTION AND BY-LAWS

Section 1: These By-Laws (excluding Article XIII) may be amended, repealed, modified, altered, or new By-Laws adopted at the AGM of the membership by a two-thirds (2/3) vote of those members present and voting; where possible the proposed changes to have been read at the previous meeting and/or all members to have been notified by mail of each change at least thirty (30) days prior to the meeting when the vote is to be taken.

Section 2: OR - the amendment can be proposed and approved at a meeting called for as a special meeting for the purpose of amending said Constitution and By-Laws providing a mailing of said amendment has been mailed to the membership not less than thirty (30) days prior to the special meeting. Postal votes for amendment of same can be undertaken in lieu of an Annual General Meeting or special meeting.

ARTICLE IX - HEARINGS, VIOLATIONS AND PENALTIES

Section 1: All USA-UK rules will apply to members.

Section 2: Any member or members of the USA-UK who in any way causes misrepresentation of USA-UK or does not honour any debt owed to USA-UK may be subject to disciplinary action by the Hearing Committee.

ARTICLE X - ASSOCIATION NOT LIABLE

Section 1: The United Saddlebred Association - UK (USA-UK), its officers, members of committees, members of Hearing Boards will attempt to obtain true and complete information in connection with all matters relating to Association activities. Except for proven intentional wrongdoing neither USA-UK nor any individual serving in a capacity mentioned above will be liable in any way, whether in damages or otherwise for any disciplinary proceeding brought against or penalties imposed on any member of other person or for any other activities engaged in, by or on behalf of the United Saddlebred Association - UK.

ARTICLE XI - DEBTS TO USA-UK

Section 1: A debt is when a cheque has been returned for insufficient funds or an unsigned cheque has been given to the Association. The procedures to be followed will be:

- The member writing the cheque will be notified immediately.
- A service charge may be charged per incident.
- Returned or unsigned cheques and any service charge must be resolved within thirty (30) days after receipt of notice.

Section 2: Members who at USA-UK meeting make a pledge of money to USA-UK or one of its programmes will be expected to honour that pledge within thirty (30) days from the pledged time.

Section 3: Any member who has failed to secure their debt to USA-UK under Article XI, Sections 1 and 2, will be subject to disciplinary action by the Committee where any or all of the following actions may be taken:

- Voting privilege may be suspended.
- A fine may be imposed.
- Participation in special USA-UK programmes may be denied.
- May be removed from office.
- May be removed from membership.

ARTICLE XII - DISSOLUTION

Section 1: The Association may be dissolved at any time, either at a special meeting or semi-annual meeting by a two-thirds (2/3) vote of the members in good standing in accordance with the Constitution and By-Laws. Upon dissolution, all remaining assets, whether real or personal, shall be turned over to a Registered Charity, to be nominated by the Dissolution Committee.

ARTICLE XIII - HORSE REGISTRATIONS & SHOWING

Section 1: American Saddlebreds (purebreds) eligible for entry on the Main Registry must be of proven parentage – sire, dam and horse being registered must be DNA tested and ASHA registered.

Section 2: Direct offspring (first generation) from Purebred American Saddlebred Horses registered with ASHA shall be considered for entry onto Appendix A (purebreds, non-verified). Appendix A horses may be upgraded to Main Registry status upon ASHA registration. DNA to verify parentage must be on file once said horses commence breeding.

Section 3: Partbred American Saddlebred Horses (min. 12.5%) shall be entered on Appendix B of the USA-UK Stud Book.

Section 4: As of July 1997, the American National Show Horse Association (UK) Stud Book was incorporated within USA-UK. National Show Horses (minimum 1% Saddlebred blood, maximum 75%, with the remainder being solely Arabian) shall be entered on Appendix N of the USA-UK Stud Book.

Section 5: Non-Saddlebred equines issued with USA-UK Passports shall be entered on the Appendix Q of the USA-UK Stud Book.

Section 6: All horses applying for a passport on or after 1st July 2009 must be micro-chipped. This micro-chip must be inserted by a Vet.

Section 7: Registration Fees will be set by the USA-UK Committee and shall be from time to time amended.

Section 8: All equines registering with USA-UK shall be issued with a DEFRA approved passport. 2 Photographs (one of each side) may accompany the application.

Section 9: Official Registration Certificates will be re-issued (within 30 days of issue) if incorrectly printed by USA-UK.

Section 10: USA-UK will accept no nominations for change of name on any animal entered on the Main Registry or any of the Appendixes.

Section 11: Loss or replacement Certificates will be issued (at a nominated fee), provided the USA-UK accepts all information received as correct and true.

Section 12: Horses competing in USA-UK affiliated & accredited Saddlebred classes do not need to be registered with the USA - UK, but must be registered with a recognised American Saddlebred Horse Registry with the exception of horses competing in purebred classes who must be USA-UK or ASHA registered.

Section 13: All horses competing in USA-UK nominated Futurity Classes, must meet all the requirements for nomination and be registered with the USA-UK.

Section 14: USA-UK Rosettes shall only be issued to current USA-UK members. Show Secretaries offering affiliated classes are informed to ask for proof of membership, e.g. Membership Card, before issuing any rosette in any affiliated class.

Section 15: Pure or Part Saddlebreds competing in any of our Regional or National Championships or Futurities must be owned and shown by current USA-UK members.

Section 16: USA-UK Annual Hi-Point Awards are open to both members and non-members on ANY equine (Saddlebred or non-Saddlebred). Non-members and/or non-registered horses may take part at a higher fee, but points earned are not accumulative and start again each year from zero. USA-UK reserve the right to refuse entries to the hi-points without specifying the reason. Falsification of results can result in exclusion of the hi-points and all fees will be retained.

Section 17: As of January 1998 there will be a separate section in the Hi-Point Awards, for USA-UK Junior members, where in hand and ridden points are added together. Youth members may also gain points for every USA-UK competition they win, which can be added to the Youth Points. These points, however, cannot be added to the horse's overall points, nor it's SASH Award points. The winner of this Hi-Point Division shall hold the Youth Cup for one year.

Section 18: The Members Cup – for USA-UK members competing on a Saddlebred and the Members League Award (for USA-UK members competing on a non-Saddlebred (both to be held for one year) shall be awarded to the Overall Hi-Point Champions. (Based on returned Hi-Point Cards).

Section 19: Hi-Point Awards will run annually and be based on all placings (1st to 6th) in eligible classes. Hi-point awards and championship status points will run concurrently from the same hi-point books, which must be returned annually for all points to be eligible. Returned Hi-Point Awards will be randomly checked for accuracy.

Section 20: SASH Awards (Show Awards for Saddlebred Horses) will only be awarded to USA-UK registered horses owned or shown by USA-UK members and points shall be based on returned Hi-Point Cards for all eligible classes where a horse has been placed first to sixth. Bronze Level is obtained upon reaching 1000 points, Silver on 2500 points and Gold on 5000 points. Certificates awarded in all cases. Upon reaching the Gold Award, the title of CHAMPION shall be bestowed upon said Saddlebred, and they shall be allowed to use the 'CH' prefix before their registered name (USA-UK Registration Certificates shall be amended free of charge). Elite Status shall be granted on 10,000 points.

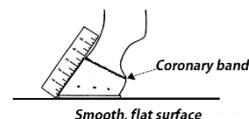
Section 21: USA-UK shall issue their own State Pleasure Awards, based on relevant classes at Regional Championships and nominated 'A' rated shows, provided Hi-Point Cards have been returned. The divisions shall include, but not be restricted to: Sports Horse, Hunter, 3-Gaited, 5-Gaited, Western, Trec and Endurance and shall be open to both pure and partbred USA-UK registered Saddlebreds, owned or shown by USA-UK members. Horses may be entered in as many divisions as they are eligible.

Section 22: As from 2011 USA-UK shall administer the ASHA State Pleasure Awards, based on class placings in relevant Saddlebred classes. These awards are open to ASHA registered horses owned by ASHA members.

Section 23: Only current Saddlebred owning members may vote on any issuing pertaining to and arising out of the USA-UK Stud Book, including but not be limited to registrations, breeding, showing and licensing.

Section 24: As of immediate effect, shoe rules are a **maximum of 5 inch length allowed** (this includes the length of the hoof, the shoe and the pad) and a maximum weight of 16oz. Hunter, western and country pleasure/classic horses should remain plain shod, without any weights or pads.

How to measure foot, shoe and toe length:



Using a six-inch ruler, determine the length of

the toe by measuring the front of the hoof, in the centre, from the skin line on the lower side of the coronary band to the ground. Use palpation to define the skin line on the lower side of the coronary band. Press your thumb on the horny hoof wall proceeding from the ground toward the hairline. The lower side of the coronary band is the first compressible soft tissue palpated. It is important to note that the hairline does not necessarily coincide with the lower side of the coronary band. Maximum allowed length is 5 inches.

USA-UK SOCIAL MEDIA AND INTERNET POLICY

This policy is part of and inclusive with our USA-UK Child Protection and Equal Opportunity Policies. As such it should be read in conjunction with them.

The USA-UK continues to require that our competitive environment is ***“conducted with fairness and equity wherein respect and tolerance for others is shown by all” and that Children and vulnerable adults should be protected from abuse or exploitation.***

USA-UK will not tolerate any offensive or bullying use of Social Media by either members, Judges or Officials, nor will we tolerate any discriminatory behaviour or language.

We do not condone nor will we accept any behaviour by either members, Judges or Officials that is not seen as respectful or tolerant to others. Nor will we tolerate any behaviour that could be construed as bullying (cyber bullying included).

We recognise that this medium is open to abuse of our “Equal Opportunities” values. **It should be noted however, that the laws of ‘defamation of character, and libel do now apply to Social Media and networking.**

As such:-

- Any member, Judge or official observed or considered to be using “Social Media” (Facebook, Twitter, Web/Chat rooms etc.) in a derogatory, offensive or otherwise thoroughly disrespectful manner or to pursue a ‘personal vendetta’ or to invite such behaviour from others, will be considered by the Board of the USA-UK, to have brought themselves into disrepute and as such to be liable to USA-UK Disciplinary process or to have their membership revoked, thus losing all the benefits and entitlements of membership.
- Any Person applying for membership or a current USA-UK Member, Judge or official whom it can be independently verified, has been the subject of a successful Criminal prosecution, (including a police caution) or has received a Disciplinary sanction from another Society, resulting from abuses as described above, will also become subject to USA-UK Disciplinary process or will have their membership revoked. Thus losing all the benefits and entitlements of USA-UK membership.

1. INTRODUCTION

- USA-UK acknowledges the importance of the internet and social media sites such as Facebook, Twitter, Linked in, home web-pages, chat rooms, BBM and all other forms of electronic communication and the role which “social media” plays in the day to day lives of Members, Judges and officials.
- This policy note is to remind members that the Rules of the USA-UK apply to ALL members when using social media and the internet. Failure to adhere to this policy could lead to disciplinary action being taken against a Member, Judge or official.

2. USING SOCIAL MEDIA

- 2.1** You should be aware that the USA-UK may from time to time carry out internet searches to identify postings which include references to the USA-UK and its Members, Judges or officials.
- 2.2** USA-UK produced images are the subject of copyright and should not be copied or used in social media save as provided in the USA-UK website user terms and conditions.
- 2.3** You should ensure that any material that you transmit or post to social media is clearly stated to be your personal view and is not held out to be, or could be mistaken as, the view of the USA-UK.
- 2.4** You must not post or transmit any material which could damage the name or reputation of

the USA-UK its members or former members, or which is derogatory to the character of or prejudicial to the interests of the USA-UK.

2.5 You must not post or transmit any material relating to the USA-UK members or former Members, Judges or officials, or their horses or ponies, or which could otherwise be associated with or which may reasonably be attributed as coming from the USA-UK:

2.5.1 Nor post or transmit any material that is threatening, defamatory, obscene, indecent, seditious, offensive, pornographic, abusive, liable to incite racial hatred, discriminatory, menacing, scandalous, inflammatory, blasphemous, in breach of confidence, in breach of privacy or which may cause annoyance, distress or inconvenience;

2.5.2 Nor post or transmit any material which constitutes or encourages conduct that would be in breach of the USA-UK Rules, or constitutes a criminal offence, or which could give rise to civil liability, or otherwise be contrary to the laws of, or infringe the rights of any third party in, the UK or any other country in the world.

2.6 Any breach of this policy may constitute a breach of the USA-UK Rules and could also lead to Civil and/or criminal proceedings being brought against you.

3. **DISCLOSURE UNDER LAW OR REGULATION**

You should be aware that the USA-UK will fully co-operate with any law enforcement authorities or court order requesting or directing the USA-UK to disclose the identity or locate anyone posting any material in breach of this Policy. If we are requested by the police or any other regulatory or government authority investigating suspected illegal activities to provide your personal information, then the BSPA is entitled to do this.

4. **This Policy is effective immediately.**

USA-UK WEBSITE PRIVACY POLICY:

This policy governs the privacy of the website www.american-saddlebred.co.uk and those who choose to use it. and does not govern any other web addresses, and their visitors or users It sets out the different areas where user privacy is concerned and outlines the obligations and requirements of the users, the website and website owners (hereinafter referred to as USA-UK); as well as the way the USA-UK website processes, stores and protects user data and information. Furthermore, the way this website processes, stores and protects user data and information will also be detailed within this policy. This website fully complies to all UK national law and requirements for user privacy.

- This USA-UK website takes a proactive approach to user privacy and ensure the necessary steps are taken to protect the privacy of its users throughout their visiting experience. Thus the use of the website **does not require visitors to identify themselves via name, address, membership number or any other identifier.**
- Security: USA-UK takes the necessary steps via encryption, password protection and any other necessary security measures to safeguard your data, from risk of loss, destruction or unauthorized access or disclosure.

Use of Cookies

- This website uses cookies to better the users experience while visiting the website. Cookies are small files saved to the user's computer's hard drive that track, save and store information about the user's interactions and usage of USA-UK website. This allows the website, through its server to provide the users with a tailored experience within this website.
- Users are advised that if they wish to deny the use and saving of cookies from this website on to their computers hard drive they should take necessary steps within **their web browsers security settings** to block all cookies from this website and external serving vendors.
- This website uses tracking software to monitor visitors to better understand how they use our site. This software is provided by Google Analytics which uses cookies to track visitor usage. The software will save a

cookie to your computers hard drive to track and monitor your engagement and usage of the website **but will not store, save or collect personal information.**

You can read Google's privacy policy at <http://www.google.com/privacy.htm>.

Contact & Communication

- Users contacting this website and/or USA-UK do so at their own discretion and at their own risk. USA-UK does not require any Personal Data to be given to access USA-UK Website.

External Links

- Although this website only looks to include quality, safe and relevant external links users should always adopt a policy of caution before clicking any external web links mentioned throughout this website. USA-UK cannot guarantee or verify the contents of any externally linked website despite their best efforts.
- Users should therefore note they click on external links at their own risk and USA-UK cannot be held liable for any damages or implications caused by visiting any external links mentioned. These links are not endorsements or recommendations.

Adverts

- This website only contains adverts related to our shows and events programme or concerning Licensed and Graded stallions. All information is supplied by Affiliated Show Secretaries or Stallion Owners. They may include contact details which have been supplied to BSPA by them.
- Users should therefore note they click on sponsored external links at their own risk and this website and BSPA cannot be held liable for any damages or implications caused by visiting any external links mentioned.

Social Media Platforms

- Communication, engagement and actions taken through external social media platforms that this website and USA-UK participate on are subject to the terms and conditions as well as the privacy policies held with each social media platform respectively.
- Users are advised to use social media platforms wisely and communicate / engage upon them with due care and caution regarding their own privacy and personal details. **Neither USA-UK nor its Website will ever ask for personal or sensitive information through social media platforms.** We encourage users wishing to discuss sensitive details to contact USA-UK through primary communication channels such as by Post, telephone or email.
- USA-UK does share web content directly from our Facebook page to the USA-UK Website only. We do not pass any content to any other social media platform.

Further Information may be obtained from:

- ❖ Information Commissions Office. www.ico.org.uk
- ❖ General Data Protection Regulations (GDPR) 2018
- ❖ This website complies to all UK national laws and requirements for user privacy.

THE GENERAL DATA PROTECTION REGULATION 2018 **(GDPR) NOTICE**

United Saddlebred Association-UK ("USA-UK") is a data controller registered with the Information Commissioner and endeavours always to process your personal data in accordance with the General Data Protection Regulation 2018 (GDPR).

All personal details will be kept confidential and secure, as part of a 'membership contract' they will be processed by USA-UK for the following purposes only:

- Administration of your membership of USA-UK.
- Contacting you about USA-UK's activities.
- Statistical purposes.
- Providing details of Shows, Show Secretaries and USA-UK Affiliated & Approved shows.
- Providing details of Panel Judges to USA-UK Affiliated & Approved Show Secretaries.
- Supplying information to DEFRA or the Central Equine database (CED) (Equine Register)

USA-UK will not disclose any personal data to third party data controllers other than in accordance with EU/DEFRA passport legislation, unless in accordance with legal obligations or with your written permission.

USA-UK will continue to process your personal data as part of its ongoing Horse and Studbook (breeding) database, unless and until you notify USA-UK in writing to stop processing your personal data.

Information supplied concerning your Ownership details, Passport or horse registration will be passed by USA-UK to DEFRA and/or the Central Equine Database (CED) as is required by Horse Passport Regulations to provide a source of data that supports veterinary disease control and surveillance activities.

AND

- the discharge of its responsibilities for implementation of horse passports;
- to facilitate strategic planning within the equine sector;
- to assist in tracing the owners of straying, lost or stolen horses; and
- to reduce malpractice in the sale of horses and ponies.

In certain, limited circumstances, DEFRA or its agents may be required to release information, including personal data upon request, under the Environmental Information Regulations, the Code of Practice on Access to Government Information or the Freedom of Information Act 2000, However, neither DEFRA nor its agents will permit any unwarranted breach of confidentiality nor will BSPA act in contravention of its obligations under the General Data Protection Regulation 2018 (GDPR).

Unless you indicate otherwise to DEFRA or its appointed agents, they may use your name, address and other details on your application form to contact you regarding occasional customer research, aimed at improving the services that they provide.

USA-UK do NOT use such data in this way nor will they disclose personal details to any other third party.

HEALTH & SAFETY FOR JUDGES, OFFICIALS & MEMBERS

USA-UK advises all Judges, Officials & Members to carry a personal basic information sheet for use by USA-UK or medical personnel in the event of illness or injury.

It is recommended that this confidential information should be carried in the right hand jacket or coat pocket, which should contain the following information:-

- Full Name
- Address
- Vehicle Registration Number
- Point of Contact & Telephone Numbers.
- Essential Medical Data (i.e. blood group, allergies, any personal medication or health condition).

USA-UK and/or medical personnel will only access this information in the event of a serious incident or personal emergency. The data accessed will not be disclosed to any third party other than medical personnel, doctor or paramedic.

USA-UK (SAFEGUARDING) POLICY

Child protection is defined in the Children Act 1989 and legislation is in place to protect children from significant harm, abuse (physical/sexual/emotional) neglect and exploitation

USA-UK takes its responsibilities to ensure that children competing at our events do so in a safe and protected environment

It is acknowledged that when children compete in USA-UK competitions this is done so in the public domain and relationships between children and any officials is different to those of an instructor/trainer relationship. Therefore safeguards that need to be put in place do not need to be as extensive.

In all circumstances parents remain accountable and responsible for the safety of their children and their own actions and behaviour towards their own and other people's children whilst competing in USA-UK competitions.

USA-UK takes its responsibilities in this regard very seriously and requires all its employees (paid or unpaid, Directors, Committee Members, judges and officials who appear in the USA-UK Contact Lists, to voluntarily disclose whether they have any convictions, cautions or outstanding matters that would prohibit or make them unsuitable to be in the close proximity of children or vulnerable adults. (This includes criminal convictions that would otherwise be classed as 'spent' under the Rehabilitation of Offenders Act 1974). This declaration authorises USA-UK to request an Enhanced Disclosure & Barring Certificate on any person where any concerns are raised and to ban anyone who they deem to be unsuitable from membership of the Association.

At USA-UK Shows, Affiliated Shows or Accredited Shows, the Association takes the safety and security very seriously and always contract with an appropriate security provider to minimise risks and ensure that everyone can compete in a safe environment

It is everyone's responsibility to report and take action, if they believe any child is being subject to abuse. In such circumstances, the Association will report these matters to the Police and relevant child protection agencies immediately upon receipt of the information. Information of this nature will not and cannot be kept confidential as the safety of child and young people is paramount

USA-UK EQUAL OPPORTUNITY STATEMENT

USA-UK values equestrian and membership diversity, and seeks to establish and maintain an environment within which competition is conducted, with fairness and equality wherein respect and tolerance for others is shown by all.

USA-UK values all equines and seeks to ensure a non-discriminatory showing environment with a "level playing field" for all.

The rules of **USA-UK** seek to establish and secure equality of competitive opportunity in all equine spheres.

All members are considered of equal status and valued. None are given privileges or exemptions regarding **USA-UK** rules and code of conduct.

USA-UK does not tolerate any offensive or discriminatory behaviour from any member towards Judges, Stewards or Officers nor between members.

USA-UK will not tolerate any discriminatory behaviour or language. This will include issues of Race, Faith, Culture, Gender, Sexuality, Age, Disability or Appearance.

Anyone found to be behaving in this way will be removed from the Register of Membership and lose all benefits and entitlements of Membership.

USA-UK DISCIPLINARY PROCEDURE

The attention of Members and other persons is drawn to the disciplinary provisions of **USA-UK** whereby **USA-UK** has wide powers to discipline Members and other persons in the event of failure to observe any provisions of these Rules or any other regulations, Constitutions, rules and bylaws made by **USA-UK**. The Rules are intended to ensure that Members compete against each other in **USA-UK** competitions under the fairest possible conditions but inevitably circumstances will arise which are not precisely covered. In such circumstances wherever possible the spirit of the Rules will be followed to produce the fairest result for Members. All Members of **USA-UK** are bound by its Rules as amended from time to time and shall be deemed to have consented to all the provisions thereof by reason of all or any of the following:-

- (a) submission of a membership application form followed by acceptance of membership.
- (b) submission of a request for annual renewal of membership followed by acceptance of such renewal.
- (c) registration of an equine.
- (d) registration of the lease/loan of an equine or its transfer.
- (e) any other act by a Member pursuant to the USA-UK.
 - Neither **USA-UK** nor any of its Officials or Judges accept any financial responsibility for its or their decisions.
 - No Member of **USA-UK** shall conduct himself in a manner or be guilty of behaviour which is derogatory to the character or prejudicial to the interests of **USA-UK** and in particular (but without prejudice to the generality of the foregoing) no Member shall behave in an offensive or abusive or unpleasant manner to any person at any show or at any other location where **USA-UK** business is being transacted or via social media or the internet or via any publication in whatever format or via any other written or electronic format. Members' attention is drawn to the **USA-UK** Social Media and Internet Policy set out in this Handbook.
 - It is the duty of every Member to assist the officers of **USA-UK** in their investigations of breaches of the Rules and an unreasonable failure or refusal to assist any such officer in such investigation will itself constitute a breach of the Rules.
 - Members shall not ill-treat equine at any time whether by misuse or excessive use of a whip or bit or any item of saddlery or in any other way whatsoever.
 - Members are responsible for the actions of any non-members who are Family Members (as defined below) or who are friends or friends of Family Members or who are employed by them or assisting, supporting or representing them or otherwise acting on their behalf and any action or conduct of such non-member which would be a breach of the Rules were he is a Member shall constitute a breach of the Rules by the Member concerned. Family Member shall include a spouse or civil partner, a partner, a direct descendant or ascendant of the Member or his spouse or civil partner, brothers, sisters or adopted children of the Member or his spouse or civil partner, or any other person who is part of the same household as the Member or is in the reasonable opinion of **USA-UK** a member of the Member's family in the widest sense.
 - Failure to observe any of the rules may result in disqualification of the equine concerned and the institution of disciplinary proceedings by **USA-UK** against the person breaking the rules in accordance with **USA-UK** Rules. In the event of disqualification of an equine after a cup, rosette or prize money has been awarded, such cup, rosette or prize money must be returned forthwith to the Show or **USA-UK** as appropriate.

Objections and Complaints

Any objection or complaint relating to the rules of a particular Show should be made to that Show Executive in accordance with the procedure set out in such rules, except a complaint relating to a J.M.B. Certificate or a **USA-UK** Judge.

Members wishing to register an objection or complaint, whether it is against the Judge or Exhibitor or the organiser of a Show or otherwise, should write to the **USA-UK** Office. Two separate letters must be received from unrelated Adult members of different families and they must be received within fourteen days of the date of the event giving rise to the objection or complaint. THE LETTERS MUST BE SENT BY RECORDED DELIVERY. The matter will then be dealt with in accordance with the procedure laid down in the **USA-UK** Rules.

Whilst officiating a **USA-UK** Judge, Official or Steward, when wishing to register an objection or complaint against a member, should write to the **USA-UK** Office. A Show Secretary wishing to register an objection or complaint against a Judge or member, should write to the **USA-UK** Office. THE LETTER MUST BE SENT BY RECORDED DELIVERY and must be received within fourteen days of the date of the event giving rise to the objection or complaint. The matter will then be dealt with in accordance with the procedure laid down in the **USA-UK** Rules.

The United Saddlebred Association-UK Breeding Programme

1. Introduction

The United Saddlebred Association-UK Stud Book is a U.K. based E.U. recognized studbook fully approved by D.E.F.R.A. (Department for Environment Food & Rural Affairs) as a P.I.O. (Passport Issuing Organization). The USA-UK is a Limited Company registered at Companies House (number 4667665).

The USA-UK will act in accordance with the UK, EU and ASHA directives regarding studbook rules and regulations, insofar as they apply to the USA-UK and are considered to be binding.

In order to comply with Commission Decision 92/353/EEC, the Company (USA-UK) declares that there will be no discrimination between breeders.

The USA-UK conducts itself at all times in accordance with the Minimum operating standards for UK approved passport issuing organisations, issued by DEFRA.

The USA-UK is a data controller and endeavors at all times to process personal data in a way that is fully compliant with the EU General Data Protection Regulation (GDPR) that came into force on 25 May 2018. We only keep personal details where necessary. These are kept confidential, secure and will only be used for administration, statistical and analytical purposes, supplying information to equally compliant third party that have a legitimate requirement for those data, such as the Central Equine Database.

We will not disclose personal data to third party data controllers other than in connection with the equine database, unless in accordance with law. The USA-UK is bound by Commission Decision 92/353/EEC and all relevant Horse Passport Regulations.

The USA-UK will share horse pedigree data with appropriate third parties where it facilitates the advancement of public knowledge about breeding and performance.

The Association's breeding programme embraces all measures appropriate to making progress in achieving the breeding aim. The breeding programme shall be executed exclusively in the area of the Association as laid down in the statutes. Purebred American Saddlebreds are horses of American Saddlebred descent and have pedigree papers issued either from the Mother Stud Book, the ASHA, ourselves, USA-UK or any other Saddlebred Association/Society recognised by the ASHA and ourselves.

2. The breeding aim

The American Saddlebred is bred as a breed which is particularly suitable for almost any discipline. We strive for horses which, due to their inner values, rideability, their external appearance, their movement, their stamina and their soundness are suitable as performance and pleasure horses.

Each of these aspects are outlined below with a description of the desirable and undesirable attributes of each one.

Healthy horses are also a clear aim for the breed. It is important that horses are free from hereditary diseases and other health defects, which may impair their use for breeding or as a riding or performance horse.

Attributes of the American Saddlebred horse

Inner values and rideability

Aspect	Desirable attributes	Undesirable attributes
Inner values	<ul style="list-style-type: none"> • Intelligence, good character (affable, but sensitive, uncomplicated) • good temperament (balanced, strong nerves, awake) • high willingness to perform (ability to learn, courageous / brave and eager to perform) high performance ability. Horses which, due to their physical conditions and their inner characteristics are able to fully utilise their performance ability. 	<ul style="list-style-type: none"> • bad character • unfavourable temperament • low willingness to perform. • stable vices.
Rideability	<ul style="list-style-type: none"> • A horse which, with good activity of the mouth, responds to the aids, calmly cooperates with the rider and is comfortable to sit. • The horse should move from active pushing hindquarters and with an elastic, swinging back in a natural balance. Rhythm, suppleness, and seeking contact between hand and mouth. 	<ul style="list-style-type: none"> • Unlevel rhythm of movement • downhill tendency in movement • tight back • bad rideability • difficult temperament

External appearance

External appearance covers colour, size and conformation. With regard to colour, there are four basic colours chestnut, black, bay, and grey. In terms of size, the society aims for a horse with a final height around 165 cm (stick measure).

Desirable and undesirable attributes of conformation aspects are described below.

Aspect	Desirable attributes	Undesirable attributes
Breed and sex type	<ul style="list-style-type: none"> • a model, noble and willing horse of varying caliber • nobility, big framed, a well defined outline, lean texture, well-muscled with a clear sex type 	<ul style="list-style-type: none"> • coarse body parts • stocky, plain and rough types • no sex type • poorly marked outline.
Head	<ul style="list-style-type: none"> • noble and well defined • should match the size of the horse's body • a large, keen eye with a calm expression • large nostrils • a clearly defined mouth and light jaw. 	<ul style="list-style-type: none"> • too large in comparison to the overall size of the body • a bland expression • Roman nose • dish-nosed head, • small sunken eyes with much white showing • fish-eyed • strong jaws • droopy ears • serious dental defects.
Neck	<ul style="list-style-type: none"> • well proportioned and developed • well muscled • growing smaller towards the head • light and flexible connection between neck and head (light poll) • may be set high to the shoulder 	<ul style="list-style-type: none"> • too low or too high put on neck • insufficiently muscled • too long or too short • no tapering towards the head • thick poll • thin neck • ewe neck • swan neck • fat neck.

Shoulder and saddle position	<ul style="list-style-type: none"> • a long, sloping shoulder • clearly pronounced withers, extending far back and connected to a slightly downward pointing top line. 	<ul style="list-style-type: none"> • flat, upright, small shoulder • short, flat, straight, high withers
Frame	<ul style="list-style-type: none"> • A rectangular horse, long- legged, big outline and compact with harmonious top line • a well set neck, sloping shoulder; long withers, a long enough back; broad, well muscled loins; • a deep enough chest with compact flanks. 	<ul style="list-style-type: none"> • short outline • short legs • very long or very short back • flat topline • hollow back • arched back • open or tight loinspoor flanks.
Forelegs	<ul style="list-style-type: none"> • from a lateral view a line dropped from the middle of the shoulder should bisect the forearm, knee and cannon bone and then carry to a point just behind the heel • From a front view, the legs should be straight and stand parallel to each other • Lean, well muscled foreleg, well defined, strong joints • elastic and medium long pasterns • strong, even-sized and even-angled hooves proportionate to the size of the horse 	<ul style="list-style-type: none"> • lack of muscle • all faults of conformation such as sagging knees, calf knees, standing under in front, camped in front, off-set knees, upright, base wide, base narrow, toe-in, toe-out, fine, flat, weak joints, all abnormal conformation of bones, joints or tendons; too long or too short pasterns, too weak or too upright pasterns, all abnormal conformation of the hoof as contracted hoof, club foot, narrow, sloping, deep hoofs, fat heels.
Hindlegs	<ul style="list-style-type: none"> • from a lateral view a line dropped from the tuber isschii should hit the point of the hock and go down the posterior aspect of the hind cannon • viewed from behind a line dropped from the point of the tuber isschii bisects hock, cannon, pastern and hoof • lean, well defined joints, strong, well defined hocks • elastic and medium sized pasterns 	<ul style="list-style-type: none"> • all faults of conformation such as standing over or standing under behind, bow legs, cowhocks, sickle-hocked, wide or narrow at the hocks, hoof pointing inwards or outwards; excessively upright legs with hocks at an open angle, weak pasterns, too long or small or incorrect joints like curby hock and bone-spavin, abnormal conformation of tendons and bones.
Tail Carriage	<ul style="list-style-type: none"> • straight and well carried tail • may come off high from the croup. 	<ul style="list-style-type: none"> • crooked, deep-set and/or wagging tail

Movement

Aspect	Desirable attributes	Undesirable attributes
Correctness of gait	<ul style="list-style-type: none"> viewed from the front and from behind the foot path should be straight and regular (even). 	<ul style="list-style-type: none"> all faults as winging, dishing paces, loose joints.
Walk	<ul style="list-style-type: none"> rhythmical and even four beat ground covering, energetic and elastic walk well balanced 	<ul style="list-style-type: none"> pacing/racking unrhythmical irregular short, tight or weak.
Trot	<ul style="list-style-type: none"> a clear two-beat rhythm with cadence a high level of impulsion, elasticity, ground cover and balance active, well bending hind legs moving with thrust under centre of gravity clear activity of the musculature of the back (suppleness) and the thighs, uphill-moving forehead with a freely moving shoulder. 	<ul style="list-style-type: none"> uneven rhythm uninspiring not enough ground cover flat swaying
Canter	<ul style="list-style-type: none"> rhythm (three-beat) impulsion, elasticity, ground cover and balance every canter stride should be a powerful push with well bent hindlegs clear, uphill canter, hind legs striding under centre of gravity. 	<ul style="list-style-type: none"> irregularity not enough ground covering uninspiring flat no impulsion lacking cadence.

3. Breeding Methods

The method of pure breeding shall be applied in striving to fulfil the breeding aim. This means that first and foremost American Saddlebreds stallions and mares shall be registered in the most important Studbooks. i.e. the "Main Studbook". (Horses in the Main Studbook must also be dual registered with the ASHA in America and have verified parentage by DNA testing).

4. Inclusion of other breeding populations (origins) including use of non-Saddlebred stallions and mares

The Association has an Open Studbook (Appendix Q) for all non-Saddlebred horses, whereby ID only passports can be issued.

5. Licensing of Stallions.

Licensing is the decision taken by the USAUK concerning the use of a stallion within the context of the breeding programme. In making the decision the features of the external appearance, as well as the performance potential and any possible hereditary faults, are considered particularly carefully in as far as these would have negative influence on his breeding ability and breeding value. The inspection is to be undertaken by a Veterinary Surgeon at the applicants expense.

a. Licensing decision.

The licensing decision is as follows:

- i. Licensed
- ii. Not licensed

The decision concerning licensing is to be passed on to the owner in writing. The decision "licensed" is to be entered on the pedigree certificate.

The Licensing:

- iii. may be revoked if a condition was attached to the licensing and the owner has not fulfilled it or not done so within the required period of time,

b. Objections to the licensing decision

The stallion owner is entitled to file an objection to the licensing decision.

This must be done within a period of four weeks after the decision has been made known. This must be done in writing and include reasons.

c. Applications

Application for the licensing of a stallion is to be made at the office of the USA-UK.

d. Conditions for a stallion to be admitted for licensing

In order for a stallion to be admitted for licensing the following conditions are to be fulfilled:

- i. he must be at least 2 years old
- ii. his pedigree must correspond to the conditions for registration in the Main Stud Book or one of the Appendixes (see Stallion Registration regulations)
- iii. the pedigree papers must be made available.

The identity of the stallions is to be verified prior to licensing. Stallions without sufficient identification will not be permitted to take part in the licensing performance. The conditions under Assurance of identity by means of a DNA test are necessary for establishing the identity must be made available.

e. Health conditions

An essential condition to be fulfilled in order to be permitted to take part in the licensing, as well as for the licensing itself, is that the stallion should show no deficiencies in health.

Health defects are:

- i. an impairment of the sexual organs, which justifies considerable doubts about the use of a stallion for breeding purposes, as well as symptoms indicating a hereditary susceptibility to diseases
- ii. corrective surgery

Health requirements are laid down by the Veterinary examinations are carried out by licenced veterinarians.

Veterinary Certificate to be returned to the USA-UK Horse Registrar.

f. Misuse of drugs

Colts which have to be excluded from licensing are those which, according to the regulation of enforcement of the studbook regulations, were administered a doping substance or a forbidden drug or in which a manipulation was carried out in order to influence their performance.

g. Licensing of young stallions (colts) & older stallions.

Young stallions for the purposes of these regulations may be defined as 2 to 3 year-old stallions. Stallions for the purposes of these regulations are all stallions of four years and older.

The stallion must satisfy the inspecting Veterinary Surgeon that he is free from all visible hereditary diseases, is of good and sound wind and limb and movement.

6. Studbook Sections

The Stud Book is divided into:

- Main Stud Book – for mares, geldings and stallions 100% American Saddlebred, verified parentage by DNA and dual registered with the ASHA in America. Breeding passports shall be issued to all Main Stud Book registered horses.
- Appendix A Stud Book (App A) – for mares, geldings and stallions 100% American Saddlebred, not registered with the ASHA in America but eligible for upgrade to the Main Registry after certain criteria have been met. NO offspring of Appendix A horses can be entered into the Main Registry. Offspring of Appendix A horses are not automatically accepted into the Appendix A Registry – each case shall be deemed on individual merit and only those which can reasonable be eligible for upgrade to the Main Registry will be considered. All other offspring of Appendix A horses will be entered into Appendix B Stud Book. Breeding passports shall be issued to all Appendix A Stud Book registered horses.

- Appendix B Stud Book (App B) – for mares, gelding and stallions with less than 100% American Saddlebred breeding, but a minimum of 12.5%. Breeding passports shall be issued to all Appendix B Stud Book registered horses.
 - Appendix Q Stud Book (App Q) – for mares, geldings and stallions not of American Saddlebred breeding – ID only passport can be issued.
- Horses are entered in the Stud Book which corresponds to the particular requirements. The entry in a particular section of the Stud Book shall be noted on the pedigree papers.

7. Registration conditions

- *Making an application and continuation.*

Registration is made following written application by the equine owner after fulfilment of the requirements of these Stud Book Regulations.

- *The use of stallions for artificial insemination.*

The place where the stallion is kept must be recognised as an insemination station.

The insemination permission of the competent authorities must be made available to the USAUK office.

The pedigree of all progeny resulting from the artificial insemination will be confirmed by means of a DNA test.

- *Change of owner.*

Following written information from the new owner, the change of ownership will be entered in the Stud Book. The requirement for this is:

- that the horse is registered in a section of the Stud Book.

For this purpose the Society is entitled to request presentation of the pedigree papers and passport. This must be done within 30 days of the day of sale.

8. Amendments to Stud Book registrations.

The USAUK has to order the transfer of a horse to a different section, or indeed the deletion from the Stud Book if it subsequently receives information that the requirements for registration were not fulfilled or were incorrectly submitted. Each amendment is to be clearly made and marked as such. All originally issued pedigree papers are to be collected without exception and made inapplicable, however they are not to be disposed of. They have to be kept at the office of the USAUK for 20 years.

The member is to be informed of the measure. An objection may be raised to the decision within four weeks after the information has been communicated.

The Horse Registrar, who for this purpose may make use of a computer, is responsible for the proper administration of the studbook.

9. Obligations of the Breeder

The breeder is responsible for the correctness of the information on the covering certificate, registration of foaling, as well as further papers which he/she has to fill out, hand in or keep safely. He/she shall be obliged to check all Stud Book documentation and forms, including the pedigree papers after they have been issued by the USAUK to ensure that all information is correct. If incorrect information is discovered, the USAUK is to be informed immediately and the necessary corrections undertaken and a note made of this. The breeder is not permitted to make the corrections himself. These corrections will be carried out free of charge, if found to be an error by USA-UK, provided notification has been received within 30 days of receipt of the registration papers/passports.

10. Obligations of the Stallion Owner

The stallion owner is responsible to the USAUK for correct execution of the covering acts, inseminations and their registration. Contraventions by the stallion owner of these regulations are punished in accordance with the fee schedule."

Specific obligations include:

- Filling out and signing the covering certificates by the stallion owner or his representative. By doing this he confirms that the information on the papers is correct.
- Keeping of a covering insemination list.

- Returning the covering lists as well as the covering certificate to the USA-UK office by 30th September of each year.
- Observation of all limitations concerning the use of stallions specified under obligations of the breeder. The Association is entitled to demand that the stallion owner and mare owner recognise in writing the legal consequences of non-observance of the Stud Book Regulations.
- When a stallion is used for insemination purposes the stallion owner shall be contractually obliged to recognise the valid conditions of the USA-UK which apply in this case.
- Passing on to the USA-UK on demand the information necessary for the execution of their duties and to permit the USA-UK to look at the breeding papers.
- Informing the USA-UK office immediately of any change of ownership or location of a stallion. The same applies in the case of death of a stallion or any other event which means he is no longer available for breeding purposes.
- Permitting the publication of data relevant to the breeding value of all stallions which are or were in his possession.

11. Stud Book

The Stud Book shall be kept and also maintained at the USA-UK Horse Registrar office or at a computer centre. In order to fulfil the legal requirements concerning the breeding of animals, the Stud Book must contain at least the following information for each horse entered into a section of the Stud Book:

- the name and address of the breeder, owner and proprietor
- the date of birth, sex, colour and markings,
- the registration number
- Microchip details
- in as far as this is known, the parents and their colours and the grandparents
- decisions concerning registrations and amendments in the Stud Book

Moreover the decisions concerning licensing and insemination permission (with all conditions in detail) are to be noted.

The Association directs all communications concerning the content of the Stud Book registrations to the owner.

12. Covering certificate & Covering list.

Covering certificates are available to download free from the website (www.american-saddlebred.co.uk)

The covering certificate must include the:

- name and number of the mare
- name and number of the stallion
- all data concerning covering (e.g. date of covering, type of semen)
- covering registration number
- name and address of the mare's owner
- signature of the stallion owner or his representative.

The owner of the covered mare receives one portion of the covering certificate from the stallion owner. He/she is obliged to keep their portion of the Covering Certificate until the mare foals, as certification of covering and the remaining portion to be returned to the USA-UK Horse Registrar at the end of the covering season. Should the mare meanwhile be sold then her buyer shall take over this obligation.

The stallion owner is obliged to keep a list of mares covered.

13. Foal registration

The owner of the foal applying for registration is considered to be the registered owner of it's dam, unless that application form has been signed by the registered dam owner "transfer of unregistered foal". The mare owner's copy of the covering certificate should also be submitted with the application.

The registration of foaling must at least contain:

- name and number of the foal's dam,
- name and number of the sire,
- name of the owner of the mare and foal
- date of birth, sex, colour and markings of the foal,

- in appropriate cases, information about stillbirth or death shortly after birth
- signature of the mare's owner.

14. Certification of origin & pedigree certificates

As certification of origin, the USA-UK office issues pedigree certificates or birth certificates in a passport, providing the parents and grandparents are registered, or can be registered, in the Stud Book of the USA-UK. Pedigree certificates, birth certificates and proof of ownership documents are certificates concerning the ancestry of a horse. They belong to the horse and remain the property of the issuing breed society, in the case of change of ownership they are to be handed over to the new owner and in the case of death of the horse, to be returned to the issuing office. A duplicate copy of a certification of origin can only be issued on application, and then only on presentation of an assurance on oath about the loss of the original certification of origin with a signature certified by a notary public. It is to be clearly marked as a duplicate copy. Application forms for duplicate passports can be found on the website (www.american-saddlebred.co.uk)

Pink pedigree certificates shall be issued by the USA-UK and included within the passport if a USA-UK is applied for at the same time, otherwise they shall be issued separately.

The pedigree certificates contain the following information

- name of the breeder's society/association
- place and date of issuing
- registration number name and address of the breeder and of the owner,
- date of birth, sex, colour and markings
- identification
- name, registration number and breed of the parents and names, registration numbers and breed of a further generation
- registration of the breeding horse and its ancestors in the section of a StudBook
- the signature of the person responsible for the breeding work or his representative

The registration of each new owner should be possible. The pedigree papers constitute an important document for the owner of the horse. The papers are an essential requirement for the registration in an appropriate Stud Book section.

15. Amendment of breeding or other data

All amendments, for example, breeding data, death or sale, amendments of colour or distinguishing marks, results of performance tests, are to be communicated immediately to the USA-UK office by the horse's owner without any particular request to do so being made.

16. Identification

Identification is made by means of a description, which should be as detailed as possible, of the colour and markings of the horses and by conducted by a Veterinary Surgeon. Microchip identification is also mandatory.

17. Registration number (life number)

Each horse is allocated a number when it is registered in the Stud Book (UELN). This number is not to be changed. This applies even if the horse concerned is transferred to a different section in the Stud Book. All existing numbers of horses issued with G.B. papers will be preceded by 826 (UK) 071 (USA-UK).

The life number is composed as follows: Example 82607100000001

18. Assurance of identity by means of DNA test

For each registered horse or horse presented for registration in the Main Stud Book and for each foal to be registered, a DNA number shall be deposited with the USA-UK. In such cases, the cost shall be borne by the breeder.

On the occasion of the licensing or registration of a stallion, a DNA test of the sire and the dam are to be presented.

If the mare or the stallion is registered with another association, then this association shall be obliged to give administrative aid.

19. Transfers of ownership

Transfer of ownership forms can be downloaded from the USA-UK website (www.american-saddlebred.co.uk), if the data is not available within the passport and must be signed by the last registered owner. This form, together with the passport and registration certificate (issued together if applied for from USA-UK) with the correct fee to the USA-UK Horse Registrar, within 30 days of the day of sale. Please note it is ILLEGAL to sell a horse without a passport.

20. Rights of breeders and complaints procedures

a. *Rights of Breeders*

Breeders can be confident that the fair treatment of customers is central to the USA-UK Stud Book's culture and that we pay due regard to the interests of our breeders at all times. We seek to provide our breeders with clear information to ensure they are appropriately informed before, during and after they use our services.

Where breeders receive advice, the advice is suitable and takes account of their circumstances. We seek to meet breeders expectations at all times and ensure they are fully informed about the services we provide. We ensure that our breeders do not face any unreasonable barriers to making a free choice of employing the service of another studbook or make a complaint (see point 5.1.2 of this document).

b. *Complaints procedures*

In the unlikely event that disagreements occur, we have clear policies in place and – where necessary or appropriate – seek the advice and cooperation of Trading Standards to resolve these.

20.2.1 We confirm the equal treatment and non-discrimination of all breeders. Breeders are able to exercise free choice in the selection of broodmares and stallions. Offspring will be entered in the appropriate breeding book, with different sections of the studbook available to accommodate this free choice (see section 6 of this document). We confirm that breeders retain ownership of their breeding animals.

20.2.2 The USA-UK Stud Book prides itself on providing an excellent level of customer service – but there may be times when you wish to complain about something that we did not get quite right. When that happens, we would encourage you to tell us about your complaint so we can put things right.

For complaints/appeals please contact:

USA-UK Ltd. 24 Coton Grove, Shirley, Solihull, West Midlands, B90 1BS.

Email: info@american-saddlebred.co.uk

Our Complaints Procedure

1. We provide written acknowledgement of the complaint and the name and contact details of the member of staff dealing with the matter.
2. We confirm how the complaint will be dealt with and in what timeframe (USA-UK aims for a response within 5 working days, depending on the complexity of the complaint).
3. We provide written confirmation of the outcome of the complaint.
4. If the complaint is not solved, a letter should be sent within 8 weeks of the original complaint, clearly explaining why we have been unable to resolve the matter. This letter will also outline the appeal procedure.
5. Records will be maintained by our staff, relating to any complaints received. These records will be available for inspection if required. These records will include the name of the complainant, the substance of the complaint and all relevant correspondence. It will also include any offer of redress, accepted or refused, by the claimant. These records will be stored in our database.

Our Appeal Procedure

1. If the client is not satisfied with the solution/process, they may appeal to the Studbook management. This appeal should be made in writing, detailing the cause of dissatisfaction and may offer suggested resolution(s).
2. If the client is not satisfied with the outcome of their appeal, they may wish to take their case to the following body:

Department for Environment, Food and Rural Affairs (DEFRA), Horse Passports Team, Area 5E, 9 Millbank, c/o Nobel House, 17 Smith Square, London, SW1P 3JR

21. Concluding provisions & Disclaimer

Alterations to the above-mentioned stud book regulations and breeding programme will be agreed between the committee of the USA-UK before being made. The information supplied herein is believed to be correct but the accuracy thereof is not guaranteed and the Company and its employees cannot accept any responsibility for loss suffered in consequence of reliance on the information provided. Provision of this date does not obviate the need to make further appropriate enquiries and inspections.

USA-UK HI-POINT GUIDE

The United Saddlebred Association-UK runs a number of Hi-Point Awards, which are open to both members and non-members with registered and non-registered horses. As with all of USA-UK activities and programmes USA-UK reserves the right to refuse participation without specifying the reason.

Rosettes are awarded to all USA-UK Hi-Point place winners and certificates to all USA-UK champions. Trophies are presented (where sponsored) to all USA-UK champions, provided participants are USA-UK members.

Awards open to all horses/ponies, there is no need for USA-UK membership or registration. Awards for Saddlebred, part Saddlebred and Non-Saddlebred. If stated 'Members awards' these are for paid up members only.

We will calculate your points for you and enter you into in any of the other awards you may be eligible for. (Please note these additional awards will be either Saddlebred or Non-Saddlebred). Points are accumulative for members' registered horses and will be counted towards their lifetime achievement awards.



ASB Members Cup:

For USA-UK Members only, competing on a Saddlebred. Horse must have entered the in hand and/or ridden hi-points section but is otherwise free to take part. Based on accumulative points during the year of members. (Must be a paid-up member when entering hi points).



ASB Purebreds In Hand:

Based on accumulative competitive points in the in-hand divisions throughout the year. Points awarded for category A (Championship & County shows) and B (General & All other shows). For Purebred Saddlebreds only.



ASB Purebred Ridden:

Based on accumulative competitive points in the ridden divisions throughout the year. Points awarded for category A (Championship & County shows) and B (General & All other shows). For Purebred Saddlebreds only.



ASB Part bred In Hand:

Based on accumulative competitive points in the in-hand divisions throughout the year. Points awarded for category A (Championship & County shows) and B (General & All other shows). For Partbred Saddlebreds only.



ASB Part bred Ridden:

Based on accumulative competitive points in the ridden divisions throughout the year. Points awarded for category A (Championship & County shows) and B (General & All other shows). For Partbred Saddlebreds only.



Members Youth:

USA-UK Youth Members only award with any equine.
Applicable for under 16's only. (Must be a paid-up member when enter hi points)



ASB Sport Horse:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Includes Affiliated or Unaffiliated Dressage, Show Jumping and Eventing. For Pure or Partbred Saddlebreds only.



ASB 3 Gaited:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes ridden saddle seat 3-Gaited. For Pure or Partbred Saddlebreds only.

ASB 5 Gaited:

Trophy Not Sponsored

Horse must have entered the ridden hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes 5-Gaited. For Pure or Partbred Saddlebreds only.



ASB Western:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes ridden western. For Pure or Partbred Saddlebreds only.



ASB Hunter:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes ridden hunter. For Pure or Partbred Saddlebreds only.



ASB Trec:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Scored on placings for winter and summer Trec. For Pure or Partbred Saddlebreds only.



ASB Endurance:

Horse must have entered the ridden hi-points section but is otherwise free to take part. Based on accumulative ridden points / mileage for endurance rides. For Pure or Partbred Saddlebreds only.



ASB Versatility:

Horses must have entered the in hand and/or ridden hi-points section but is otherwise free to take part. Nominated shows – for Saddlebred, World Breed or Foreign Breed classes and horse must show in at least of the listed 3 divisions (in hand, 3-G, 5-G, hunter or western) during the year, but not necessarily at the same show. For Pure or Partbred Saddlebreds only.



ASB KC Futurity:

Horse must have entered the in hand hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes ridden hunter. For Pure or Partbred Saddlebreds only.



ASB Utility:

Based on accumulative competitive points in classes throughout the year. Utility includes C&T, best rider, family mount, best colour markings, concours D'elegance, horsemanship, western riding, western reining etc. For Pure or Partbred Saddlebreds only.



Members Newcomers:

Horse must have entered the in hand and/or ridden hi-points section but is otherwise free to take part. For the combination (member) that is entering the hi-points for the first time and has achieved the highest accumulative points during the year. Open to USA-UK members only, competing with ANY equine.



Members Promotion:

Open to any member of the Association who USES their USA-UK registered Saddlebred for promotional activities or demonstrations, or has articles/reports promoting the American Saddlebred or the activities of the United Saddlebred Associati



Members Hacking Log:

Presented to the horse that has clocked up the greatest number of hours during the (past) year in our Hacking Award Scheme. Free to take part in – simply return your Hacking Logbook at the end of the year.

Members only award.

Rosettes are presented at 100, 250, 500, 750 and 1,000 hours.

Further awards include 500 hours USA-UK tabard and at 1,000 hours an embroidered saddle cloth.

Open to members only, on ANY equine.

Non-Saddlebred Awards

For USA-UK Members and their USA-UK registered non-Saddlebreds, based on accumulative points during the year.



Members (Non ASB) League Table:

Horse must have entered the in hand and/or performance hi-points section but is otherwise free to take part. Based on accumulative points during the year of members. (Must be a paid-up member when enter hi points)

Non-Saddlebred - In hand

Based on accumulative competitive points in the in-hand divisions throughout the year.

Non-Saddlebred Performance:

Based on accumulative competitive points in the performance divisions throughout the year.

Non-Saddlebred – Utility

Horse must have entered the performance hi-points section but is otherwise free to take part. Based on accumulative competitive points in classes throughout the year.

Non-Saddlebred - Sport Horse:

Horse must have entered the performance hi-points section but is otherwise free to take part. Includes Affiliated or Unaffiliated Dressage, Show Jumping and Eventing.

Non-Saddlebred - Western:

Horse must have entered the performance hi-points section but is otherwise free to take part. Based on accumulative competitive points in classes throughout the year.

Non-Saddlebred - Trec:

Horse must have entered the performance hi-points section but is otherwise free to take part. Scored on placings for winter and summer Trec.

Non- Saddlebred - Hunter:

Horse must have entered the performance hi-points section but is otherwise free to take part. Extra points for May Festival, World Breed or Foreign Breed classes ridden hunter.

Running totals of all USA-UK members registered horses will be kept and made available to members (online and/or printed publications) annually. Participants who are neither members, or who's' horses are not USA-UK registered will have their running scores reset to zero at the start of each new year.